

Attorney's Docket No.: 005306.P018

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of: Subramaniam et al.

Examiner: Starks, Wilbert L.

Application No. 09/883,739

Art Unit: 2121

Filed: 06/18/2001

For: Method, Apparatus, and System  
for Search Based on Filter Search  
Specification

FIRST CLASS CERTIFICATE OF MAILING

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Date

Commissioner For Patents  
Alexandria, V.A. 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 or PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

05/03/2005 EAREGAY1 00000002 09883739

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Application No.: 09/883,739

- 1 -

Docket No.: 005306.P018

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

\_\_\_\_\_ 37 C.F.R. §1.97(b).

  X   37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:

\_\_\_\_\_ A statement pursuant to 37 C.F.R. §1.97(e) or

  X   A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).

\_\_\_\_\_ 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:

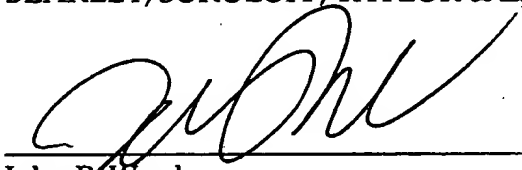
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
- (2) A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 4/29/05

  
\_\_\_\_\_  
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Substitute for Form 1449/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known

Application Number	09/883,739
Filing Date	06/18/2001
First Named Inventor:	Subramaniam et al.
Art Unit	2121/2129
Examiner Name	Starks, Wilbert L.
Attorney Docket Number	005306.P018

Sheet 1 of 2

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)				
WS		US-	5,161,225	11/3/1992	Abraham et al.	
WS		US-	5,717,914	2/10/1998	Husick et al.	
WS		US-	5,828,376	10/27/1998	Solimene et al.	
WS		US-	5,845,255	12/1/1998	Mayaud	
WS		US-	5,907,837	5/25/1999	Ferrel et al.	
WS		US-	5,913,215	6/15/1999	Rubinstein et al.	
WS		US-	5,937,409	8/10/1999	Wetherbee	
WS		US-	5,978,577	11/2/1999	Rierden et al.	
WS		US-	6,014,647	1/11/2000	Nizzari et al.	
	WS	US-	6,014,662	1/11/2000	Moran et al.	
	WS	US-	6,026,409	2/15/2000	Blumenthal	
	WS	US-	6,041,323	3/21/2000	Kubota	
	WS	US-	6,070,160	5/30/2000	Geary	
	WS	US-	6,073,129	6/6/2000	Levine et al.	
	WS	US-	6,167,395	12/26/2000	Beck et al.	
	WS	US-	6,240,409	5/29/2001	Aiken	
	WS	US-	6,292,796	9/18/2001	Drucker et al.	
	WS	US-	6,292,802	9/18/2001	Kessenich et al.	
	WS	US-	6,301,581	10/9/2001	Smiley	
	WS	US-	6,334,124	12/25/2001	Bouchard et al.	
	WS	US-	6,360,215	3/19/2002	Judd et al.	
	WS	US-	6,374,252	4/16/2002	Althoff et al.	
	WS	US-	6,385,602	5/7/2002	Tso et al.	
	WS	US-	6,401,118	6/4/2002	Thomas	
	WS	US-	6,446,065	9/3/2002	Nishioka et al.	
	WS	US-	6,449,598	9/10/2002	Green et al.	
	WS	US-	6,476,833	11/5/2002	Moshfeghi	
	WS	US-	6,490,575	12/3/2002	Berstis	
	WS	US-	6,490,619	12/3/2002	Byrne et al.	
	WS	US-	6,546,385	4/8/2003	Mao et al.	

Examiner  
Signature

/Wilbert L. Starks, Jr./

Date Considered

09/29/2006

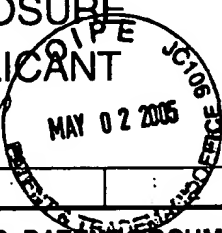
\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SENT FEES OR COMPLETED FORMS TO THIS ADDRESS.

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Substitute for Form 1449/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				<b>Complete If Known</b>		
				Application Number	09/883,739	
				Filing Date	06/18/2001	
				First Named Inventor:	Subramaniam et al.	
				Art Unit	2121 2129	
				Examiner Name	Starks, Wilbert L.	
Sheet	2	of		Attorney Docket Number	005306.P018	
<b>U.S. PATENT DOCUMENTS</b>						
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
WS		US-	6,553,310	4/22/2003	Lopke	
WS		US-	6,564,225	5/13/2003	Brogliatti et al.	
WS		US-	6,567,805	5/20/2003	Johnson et al.	
WS		US-	6,618,721	9/9/2003	Lee	
WS		US-	6,711,565	3/23/2004	Subramaniam et al.	
WS		US-	6,763,351	7/13/2004	Subramaniam et al.	
WS		US-	6,772,150	8/3/2004	Whitman et al.	
WS		US-	6,782,383	8/24/2004	Subramaniam et al.	
WS		US-	2002/0138481	9/26/2002	Aggarwal et al.	
WS		US-	2002/0147704	10/10/2002	Borchers	
WS		US-	2002/0156779	10/24/2002	Elliot et al.	
WS		US-	2002/0169771	11/14/2002	Melmon et al.	
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<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
WS		PCT/US02/19401		10/24/2003	PCT IPER		
WS		PCT/US02/19401		4/24/2003	PCT Written Opinion		
WS		PCT/US02/19401		9/9/2002	PCT International Search Report		
WS		WO 02/103576 A1		12/27/2002	Siebel Systems, Inc.		

Examiner Signature	/Wilbert L. Starks, Jr./	Date Considered	09/29/2006
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

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CROSS REFERENCE TO RELATED APPLICATIONS UNDER 37 C.F.R. § 1.78

Sir or Madam:

Pursuant to 37 C.F.R. § 1.78, Applicants note that the above-identified patent application may be related to the following:

- (1) U.S. Patent 6,782,383, issued August 24, 2004;
- (2) U.S. Patent Application No. 09/ 883,623, filed June 18, 2001;
- (3) U.S. Patent Application No. 09/ 883,755, filed June 18, 2001;
- (4) U.S. Patent Application No. 10/ 867,930, filed June 14, 2004;
- (5) U.S. Patent Application No. 10/ 827,780, filed April 19, 2004;
- (6) U.S. Patent Application No. 10/ 867,795, filed June 14, 2004;
- (7) U.S. Patent 6,763,351, issued July 13, 2004;
- (8) U.S. Patent 6,711,565, issued March 23, 2004;
- (9) U.S. Patent Application No. 09/ 883,598, filed June 18, 2001; and
- (10) U.S. Patent Application No. 09/ 883,776, filed June 18, 2001.

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1449

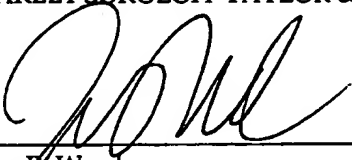
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Respectfully submitted,

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Dated: 4/27/05

  
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John P. Ward

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